PREQUALIFICATION QUESTIONNAIRE

DESIGN-BUILD MAINTENANCE AND OPERATIONS COMPLEX

PALOMAR COLLEGE JULY 2015

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SECTION "A" **GENERAL INFORMATION DESIGN-BUILD ENTITY MEMBERS**

CONTACT INFORMATION GENERAL CONTRACTOR:

Contact Person:		
Address:		
DI DI		
Phone: Fax:		
E-mail:		
List all individuals and	DESIGN-BUILD ENTITY MEM d legal entities that are Design-Build I (Attach additional pages if neces	Entity Members of the Applica
Trade or Profession	Name and Address	Legal Entity

nt:

Trade or Profession (i.e. general contractor, architect, engineer/discipline, electrical subcontractor, etc.)	Name and Address	Legal Entity (i.e. corporation, partnership, sole proprietor, etc.)
ciccincal subcontractor, etc.)		proprietor, etc.)

For each Design-Build Member listed above that is not a sole proprietorship or corporation (such as, but not limited to, a partnership or other association), please provide a copy of the agreement creating the partnership or other association.

SECTION "B" GENERAL CONTRACTOR

Provide (he Appl	the followin icant will s	AL CONTRACTOR: ng information about the General Contractor prerve as the General Contractor, then provide a Attach additional pages if necessary in order to	all informa	tion re	quested for
B-1.	Date of co	ompany formation or incorporation:			
B-2.	State of fo	ormation or incorporation:			
B-3.	How many	y persons does the General Contractor currently em	ploy?		
B-4.		eral Contractor is a <u>corporation</u> , provide the follow poration and each individual who owns 10% or mor			
Pos	Name and Address			ears h Co.	% Ownership
B-5.	If the Gen	eral Contractor is an individual doing business as ing:	a <u>sole propr</u>	ietorshi	i <u>p,</u> complete
		Name and Address of Owner		Yea	rs as Owner

B-6. If the General Contractor is a firm that is a <u>joint venture</u>, <u>partnership or other association</u>, provide the following for each member of the joint venture, partnership or association:

Nai	me of Individual Or Entity	% Ownership Interest in Firm	Position with Firm	Years with Firm	Name and Address of Principal Contact
B-7.	Has there been any years?	change in own	ership of tl	he Genera	al Contractor during the last three
	☐ Yes	□ No		If "Yes,"	explain on a separate page
B-8.	Is the General Conconstruction firm?	tractor a subsid	iary, paren	t, holding	g company or affiliate of another
					firm owns 50 percent or more of holds a similar position in another
	□ Yes	□ No		If "Yes,"	explain on a separate page
B-9	State the General Co	ontractor's gross	revenues fo	or each of	the last three years:
	YEAR: \$	YEAR: _ \$		Y	EAR: \$

B-10. List all California contractor license numbers, classifications and expiration dates currently held by the General Contractor:

Lice	ense Number	Trade Classification	Date Issued	Expiration Date
B-11.	Has the General C	ontractor changed names or	license numbers in the	past five years?
	□ Yes	□ No	If "Yes," explain on	a separate page
B-12.		SLB qualified or corporate my other name or license r		
	□ Yes	□ No	If "Yes," explain on	a separate page
B-13.	Surety Information	for General Contractor:		
	Bonding Co./Suret	y:		
	Surety Agent:			
	Agency Address:			
	Telephone No.:			

B-14. List all other sureties (name and full address) that have written bonds for the General Contractor during the last five years, including periods during which each wrote the bonds:

Surety	Address	Periods of Coverage

SECTION "C" ESSENTIAL REQUIREMENTS GENERAL CONTRACTOR

This Part II consists of <u>pass/fail</u> questions. Failure by Applicant to pass any of the Questions in this Part II will result in the Applicant being <u>disqualified</u>, regardless of the scores received by the Applicant for its responses to any other questions in this Questionnaire. If the Applicant based on its responses is not disqualified, its ultimate rating will be based on the scoring of the scored questions, which follow in later Parts of this Questionnaire.

stions	s, which follow in lat	Parts of this Questionnaire.				
C-1.	Does the General Contractor possess a current California contractor's license for the Project for which it intends to submit a proposal that conforms to the requirements of the Request for Prequalification?					
	Yes	☐ No				
C-2.		§1725.5 and §1771 Contractor is currently registered as a contractor Industrial Relations (DIR)?				
	Yes	☐ No				
Dl	IR Registration #:	DIR Expiration Date:				

C-3.	Does the General Contractor have a liability insurance policy with a policy limit of at least \$2,000,000 per occurrence and \$4,000,000 aggregate from a California admitted company?					
	☐ Yes ☐ No If yes, provide the following information. (Attach a separate page if more than one policy.)					
	Insurance Company					
	Policy Number					
	Policy limit per occurrence					
	Aggregate policy limit					
C-4.	Does the General Contractor have current workers' compensation insurance policy as required by the Labor Code or are legally self-insured pursuant to Labor Code sections 3700 et. seq.?					
	Yes No If yes, provide the following information. (Attach a separate page if more than one policy)					
	Insured:					
	Carrier:					
	Policy Number:					
C-5.	Has the latest copy of an <u>audited</u> financial statement (no more than two years old) with accompanying notes been attached for the General Contractor? (A financial statement that is not audited is not acceptable. A letter verifying availability of a line of credit is not a substitute for the required financial statement.)					
	☐ Yes ☐ No					
C-6.	Has a notarized statement from an admitted surety insurer (approved by the California Department of Insurance) and authorized to issue bonds in the State of California been attached, which states that the General Contractor's current bonding capacity is sufficient for the project for which you seek pre-qualification? (Statement must be from the surety company, not an agent or broker.)					
	☐ Yes ☐ No					

C-7.	_	contractor lice last five (5) ye	ense held by the General Contractor been revoked or suspended ears?
		Yes	□ No
C-8.		uild Entity Me	eted a contract or paid for completion of a contract on behalf of any mber because they were terminated by the project owner within the
		Yes	☐ No
C-9.	works con	ntract, to be aw	rs was the General Contractor declared ineligible to bid on a public rarded a public works contract, or to perform as a subcontractor on a sursuant to either Labor Code section 1777.1 or Labor Code section
		Yes	☐ No
C-10.	contract fo		actor ever been convicted of a crime involving the awarding of a nt project, or the bidding or performance of a government contract ears?
		Yes	□ No
C-11.	employme California these requ	ent of apprent Code of Regulariements, inc	ntractor know and understand their obligations regarding the tices on public works under Labor Code section 1777.5 and ulations, Title 8, section 230.1, and do they intend to comply with luding the requirement, if applicable, to request the dispatch of prenticeship program approved by the California Apprenticeship
		Yes	□ No
C-12.	period an	average of 1.0	ctor's experience modification rate for the most recent three-year 10 or less? NOTE: Failure to achieve an EMR average of 1.00 or less disqualify the General Contractor.
		Yes	☐ No
	Year: Year: Year:	2011 2010 2009	EMR: EMR: EMR:

			ractor's average lost work da entractor will be disqualified	or the most recent three year period 2 ay rate (LWR) is a three year average.
] Yes \square No		
	·	10	Lost Work Day Rate: Lost Work Day Rate: Lost Work Day Rate:	
C-14.			tor have an injury preven the California Labor Code	tion program instituted pursuant to
		Yes	No No	
		QUESTIONS	SECTION "D" FOR THE GENERAL CO	<u>ONTRACTOR</u>
General achieve of the A	Contractor the overall applicant.	. Responses wil minimum score f the General C	l be scored. A failure of required for this Part III.	questions pertaining to its proposed the proposed General Contractor to , A will result in the disqualification ied, its score will be included in the ns of this Questionnaire.
D-1.	How many	y years has the G	eneral Contractor been licen	ased in California?
	Years:		_	
D-2.	Is the Gen	eral Contractor o	or any of its Associates curre	ently the debtor in a bankruptcy case?
		Yes	☐ No	
	If "yes,"	indicate the case	e number, bankruptcy cour	t and the date on which the
	petition w	as filed.		is, and the date on which the
	•		Bankruptcy Court	Date Filed
D-3 .	Case Num Was the Clast five ye	ber General Contract	Bankruptcy Court or or any of its Associates stion refers only to a bankru	

	If "yes," indicate the case petition was filed.	number, bankruptc	y court, and the c	late on which the	
D-4 .	Case Number At any time in the last five assessed liquidated damage public or private owner?		ral Contractor or a		
	☐ Yes	☐ No			
	If yes, explain on a separat name of entity against who amount of liquidated damag the assessment of liquidated	m assessment was r ges assessed and all	nade, the date of c	completion of the project	ct,
D-5 .	Has the General Contractor on a construction contract? Yes	or any of its Associ		ninated for default xplain on a separate pag	re.
D-6.	In the last five years has a disqualified, removed or construction project for any	the General Contract	tor or any of its A	ssociates, been debarre	d,
	☐ Yes	☐ No			
	If "yes," explain on a separ of the event, the owner of the	• •	_	ization debarred, the ye	ar
D-7 .	Has the General Contractor construction contract based bidder?	•		-	
	☐ Yes	☐ No			
	If "yes," on a separate page owner, the project, and the l	•		•	1e
	(NOTE: The following two of projects. You need recontractors, or subcontract disputes in which the actual	ot include informators. You need not	tion about disput include informati	es with suppliers, other	er

D-8.	In the past five years has any claim in excess of \$50,000 been filed in court or arbitration against the General Contractor or any of its Associates concerning their work on a public construction project?		
	☐ Yes	□ No	
	claim, name of the claimant, the description of the nature of the c	tify the claim(s) by providing the project name, date of the e name of the entity the claim was filed against, a brief laim, the court and case number, and a brief description of r, if resolved, a brief description of the resolution).	
D-9 .	excess of \$50,000 against a proje	neral Contractor or any of its Associates made any claim in ect owner concerning work on a public construction project ion contract and filed that claim in court or arbitration?	
	☐ Yes	□ No	
	name, date of the claim, name of brief description of the nature	ify the claim by providing the name of claimant, the project the entity (or entities) against whom the claim was filed, a of the claim, the court and case number, and a brief claim (pending, or if resolved, a brief description of the	
D-10 .	· · · · · · · · · · · · · · · · · · ·	urance carrier, for any form of insurance, refused to renew eral Contractor or any of its Associates due to non-payment No	
	If "yes," on a separate page give of insurance, and the year of the r	name of the insured; name the insurance carrier, the form refusal.	
D-11 .	Associate is not a person) ever be	ny of its Associates (or any manager of an Associate if the een found liable in a civil suit or found guilty in a criminal or material misrepresentation to any public entity?	
	Yes	☐ No	
	•	ne Applicant being disqualified, regardless of the scores for its responses to any other questions in this	
D-12 .		ny of its Associates (or any manager of an Associate if the been convicted of a crime involving any federal, state, or	

	☐ Yes ☐ No
	A "yes" answer will result in the Applicant being disqualified, regardless of the scores received by the Applicant for its responses to any other questions in this Questionnaire.
D-13 .	Has the General Contractor or any of its Associates (or any manager of an Associate if the Associate is not a person) <u>ever</u> been convicted of a federal or state crime of fraud, theft, or any other act of dishonesty?
	☐ Yes ☐ No
	A "yes" answer will result in the Applicant being disqualified, regardless of the scores received by the Applicant for its responses to any other questions in this Questionnaire.
D-14 .	During the last five years, has there ever been a period of time when the General Contractor or any of its Associates had no surety bond in place during a public construction project when one was required?
	☐ Yes ☐ No
	If yes, indicate the period during which no surety bonds were in place, name of entity without the surety bond, the name of project owner, and if coverage was denied the date coverage was denied and the name of the company that denied coverage.
D-15 .	Has CAL OSHA cited and assessed penalties against the General Contractor or any of its Associates for any "serious," "willful" or "repeat" violations of its safety or health regulations in the past five years?
	(NOTE: If you have filed an appeal of a citation, and the Occupational Safety and Health Appeals Board has not yet ruled on your appeal, you need not include information about it.) Yes No
	If "yes," on separate page describe the citations, the party against whom the citation was made, date of citation, nature of the violation, project on which the citation was issued, owner of project, and the amount of penalty paid, if any. State the case number and date of any OSHAB decision. Note that the existence of any "willful" violations of Part 1 (commencing with Section 6300) of Division 5 of the California Labor Code may constitute grounds for disqualification
D-16 .	Has the Federal Occupational Safety and Health Administration cited and assessed penalties against the General Contractor or any of its Associates in the past five years?

(NOTE: If an appeal of the citation has been filed and the Appeals Board has not yet ruled, or if there is a court appeal pending, you need not include information about the citation.)

	☐ Yes ☐ No
	If "yes," on separate page describe the citations, the party against whom the citation was made, date of citation, nature of the violation, project on which the citation was issued, owner of project, and the amount of penalty paid, if any. State the case number and date of any decision.
D-17 .	Has the EPA, any Air Quality Management District or any Regional Water Quality Control Board cited and assessed penalties against either the General Contractor or any of its Associates or the owner of a project during the time in which the preceding parties were performing on a contract in the past five years?
	(NOTE: If an appeal of the citation has been filed and there is no ruling yet, or if there is a court appeal pending, you need not include information about the citation.)
	☐ Yes ☐ No
	If "yes," on separate page describe the citations, the party against whom the citation was made, date of citation, nature of the violation, project on which the citation was issued, owner of project, and the amount of penalty paid, if any. State the case number and date of any decision.
D-18 .	How often does the General Contractor require documented safety meetings to be held for construction employees and field supervisors during the course of a project?
D-19 .	Within the last five years has there ever been a period when the General Contractor or any or its Associates had employees but was without workers' compensation insurance or state-approved self-insurance?
	☐ Yes ☐ No
	If "yes," please explain the reason for the absence of workers' compensation insurance on a separate page. If "No," please provide a statement by your current workers' compensation insurance carrier that verifies periods of workers' compensation insurance coverage for the General Contractor for the last five years. (If the General Contractor has been in business for less than five years, provide a statement by your workers' compensation insurance

carrier verifying continuous workers' compensation insurance coverage for the period that

your firm has been in business.)

D-20 .	20. Has there been more than one occasion during the last five years in which the Ge Contractor or any of its Associates was required to pay either back wages or penaltic failure to comply with the state's prevailing wage laws?			red to pay either back wages or penalties fo
		Yes	☐ No	
	the pr	roject, date of its com	pletion, the public	ne violator, nature of each violation, name of ic agency for which it was constructed, the derpaid and the amount of back wages and
D-21.	Contra	actor or any of its Ass	ociates have been	ore than one occasion in which the General penalized or required to pay back wages for prevailing wage requirements?
		Yes Yes	☐ No	
	the pr	roject, date of its com	pletion, the public	ne violator, nature of each violation, name of ic agency for which it was constructed; the derpaid and the amount of back wages and
D-22.		the General Contractor provide the following	-	n State-approved apprenticeship program? I separate page:
	(a)	Yes Identify the craft or o year.	☐ No crafts in which you	u provided apprenticeship training in the pas
	(b)	-	t recent California	enticeship program was approved, and attacl a Apprenticeship Council approval(s) of you
	(c)	any time during the	past three years the past three year	were employed by your firm as apprentices a in each apprenticeship and the number o ears, completed apprenticeships in each craft
D-23.	been f	. •	any provision of C	e General Contractor or any of its Associate California apprenticeship laws or regulations public works?
	If "yes	Yes you've the date of	☐ No the findings and att	tach a copy of the final decision.

	Date of Findings	_
D-24.	Do agreements exist between the General Contra programs which have been approved by the California graduated apprentices in the preceding five years, for a employed by the General Contractor on this project?	ia Apprenticeship Council and have
	(This graduation requirement shall not apply to prograf for any craft that has not been deemed by the Departm Industrial Relations to be an apprenticable craft with October, 2001.)	nent of Labor and the Department of
	☐ Yes ☐ No	
D-25.	Has the General Contractor built any California school which the total contract value for the construction of million?	
	Yes No If "Yes	s", how many?
D-26.	Has the General Contractor participated as the general team in the design-build of any California schools or the total contract value for the construction of the projection.	colleges in the last 5 years, in which
	Yes No If "Yes	s", how many?
D-27.	Please fill in the following blanks based on the Ge financial statement:	eneral Contractor's attached audited
	Current Assets: Current Liabilities: Total Net Worth: Current Ratio (Assets/Liabilities): Working Capital (Current Assets - Current Liabilities):	\$ \$ \$

CERTIFICATION GENERAL CONTRACTOR

NOTE: Authorized person(s) with authority to execute this Certification shall sign this Certification on behalf of each of the persons or entities that is a constituent member of the Design-Build Entity that is the Applicant Qualifier seeking to be pre-qualified by the District. Make additional copies this certification page as needed to provide certification for each such person or legal entity.

	, certify and declare that I have fication Questionnaire; that all responses are correct and clare under penalty of perjury under the laws of the State ect.
(Signature)	-
(Printed name)	_
(Place of Execution)	-
(Date)	-
(Name of the person or entity* on whose behalf	— this Cartificate is signed)

(Name of the person or entity* on whose behalf this Certificate is signed)

^{*}Name of the Design-Build Entity (or the Design-Build Entity Member authorized to represent the Design-Build Entity) on whose behalf this Certificate is being signed and submitted on behalf of the Applicant.

SECTION E ARCHITECTS

Provide the following information about each Architect of Record proposed for prequalification. If the Applicant will serve as an Architect of Record, then provide all information requested for the Applicant itself. Attach additional pages if necessary in order to respond to a Question.

Architect of Record Name:

E-1.	• If the Architect of Record or the Architect of Record's Firm is different from that of the General Contractor, please answer the following:						
E-2	If the Architect of R following information 10% or more of the control of the con	on for each offi					
	Position	Nam	ne and Address		Yea with		% Ownership
E-3	If the Architect of Re	ecord is an indiv	vidual doing bus	siness as a	a sole propri	etorsh	nip, complete
	the following:	Owner				Ye	ars as Ownei
E-4	If the Architect of Rothan a corporation); venture, each partner	provide the fe	ollowing inforn	nation for			
Naı	ne of Individual or Entity	% Ownership	Position with Firm	Years with	Name Prin		Address of

E-5	Has there been any of three years?	change in own	ership of the Ar	chitect o	f Record's Firm during the last
	(NOTE: A corporate question with regard to		-	y traded	is not required to answer this
	□ Yes	□ No	If "	Yes," exp	plain on a separate page
E-6	Is the Architect of Refirm?	cord's Firm a s	subsidiary, paren	t, holding	g company or affiliate of another
		er, partner, or o			owns 50 percent or more of Record's Firm holds a similar
	☐ Yes	□ No	If "	Yes," exp	plain on a separate page
E-7	Has any corporate of architectural firms in			of Reco	rd's Firm, worked for any other
	(NOTE: Include in Architect of Record's				oner, partner, or officer of the firm).
	☐ Yes	□ No	If"	Yes," exp	olain on a separate page
E-8	Has the Architect of I	Record's Firm	changed names i	n the past	t five years?
	□ Yes	□ No	If "	Yes," exp	olain on a separate page
E-9		_			employed by the Architect of igning the Project or a Project
Architect Name			License Number		Years in Practice

Architect Name	License Number	Years in Practice

SECTION "F" ESSENTIAL REQUIREMENTS ARCHITECTS

This Section "F" consists of pass/fail questions. Failure by Applicant to pass any of the Questions in this Section "F" will result in the Applicant being disqualified, regardless of the scores received by the Applicant for its responses to any other questions in this Questionnaire. If the Applicant based on its responses is not disqualified, its ultimate rating will be based on the scoring of the scored questions, which follow in later Parts of this Questionnaire.

F-1.	Does the Architect have current workers' compensation insurance policy as required by the Labor Code or are legally self-insured pursuant to Labor Code sections 3700 et. seq.?
	☐ Yes ☐ No
	If yes, provide the following information. (Attach a separate page if more than one policy)
	Insured:
	Carrier:
	Policy Number:
F-2.	Has any Design-Build Entity Member (contractors, architects, engineers or others) or any of its Associates, ever been convicted of a crime involving the awarding of a contract for a government project, or the bidding or performance of a government contract within the last five (5) years?
	☐ Yes ☐ No
F-3.	Do all architects and engineers who are expected to work on the Project possess current California professional licenses for the services which they intend to provide that conform to the requirements of the Request for Prequalification?
	☐ Yes ☐ No

F-4.	Is each proposed Architect of Record covered by a professional liability insurance policy with a policy limit of at least \$2,000,000 per occurrence and in the aggregate from a California admitted company that provides coverage for work on a design-build contract? Yes No				
	If yes, provide the name of the insurance company, policy number, and policy limits.				
	Insurance Company				
	Policy Number				
	Policy limit per occurrence				
	Aggregate policy limit				
F-5.	Has any professional license held by any architect who will provide services to the Project been revoked at any time in the last five years?				
	☐ Yes ☐ No				
F-6.	Has any professional license held by any engineer who will provide services been revoked at any time in the last five years?				
	☐ Yes ☐ No				

SECTION "G" QUESTIONS FOR THE ARCHITECT(S) OF RECORD

Applicant is to provide responses to each of the following questions pertaining to its proposed Architect(s) of Record. If more than one Architect of Record is proposed, separate responses shall be provided for each proposed Architect of Record. Responses will be scored. A failure of any proposed Architect of Record to achieve the overall minimum score required for this Section "G" will result in the disqualification of the Applicant. If an Architect of Record is proposed to serve as Architect of Record for more than one Project Facility, only one response for all such Project Facilities is required. If the Architect of Record is not disqualified, its score will be included in the calculation of the Applicant's total score for all scored questions of this Questionnaire.

G-1. How many years has the Architect of Record been licensed and practicing in California?

	Years:		
G-2.	Is the Architect or Re bankruptcy case?	cord or the Architect of Record's	s Firm currently the debtor in a
	Yes	☐ No	
	If "yes," indicate the cas filed.	se number, bankruptcy court, and th	ne date on which the petition was
	Case Number	Bankruptcy Court	Date Filed
G-3.		ecord or the Architect of Record's rs? (This question refers only to a uestion B-2, above)	
	Yes	☐ No	
	If "yes," indicate the cas filed.	se number, bankruptcy court, and th	e date on which the petition was
	Case Number	Bankruptcy Court	Date Filed
G-4.		as the Architect of Record or the Architect of Record or the Architect for ect for any reason?	
	Yes	☐ No	
		eparate page. State the name of the of the project, and the basis for the a	
G-5.	against the Architect	of Record or the Architect of public construction project?	
	Yes	☐ No	
	claim, name of the clain	page identify the claim(s) by providenant, a brief description of the nature scription of the status of the claim tion).	e of the claim, the court and case

a

G-6.	In the past five years has the Architect of Record or the Architect of Record's Firm, made any claim in excess of \$50,000 against a project owner concerning its architectural work on a public construction project and filed that claim in court or arbitration?		
	☐ Yes ☐ No		
	If "yes," on separate page identify the claim by providing the project name, date of the claim, name of the entity (or entities) against whom the claim was filed, a brief description of the nature of the claim, the court and case number, and a brief description of the status of the claim (pending, or if resolved, a brief description of the resolution).		
G-7.	In the last five years has any insurance carrier, for any form of insurance, refused to renew an insurance policy for the Architect of Record or the Architect of Record's Firm, based on non-payment or losses?		
	☐ Yes ☐ No		
	If "yes," on separate page give name the insurance carrier, the form of insurance and the year of the refusal.		
G-8.	Has the Architect of Record or the Architect of Record's Firm (or any manager of the Architect of Record's Firm if the Architect of Record's Firm is not a person) ever been found liable in a civil suit or found guilty in a criminal action for making any false claim or material misrepresentation to any public agency or entity?		
	☐ Yes ☐ No		
	A "yes" answer will result in the Applicant being disqualified, regardless of the scores received by the Applicant for its responses to any other questions in this Questionnaire.		
G-9.	Has the Architect of Record or the Architect of Record's Firm (or any manager of the Architect of Record's Firm if the Architect of Record's Firm is not a person) <u>ever</u> been convicted of a crime involving any federal, state, or local law related to construction?		
	☐ Yes ☐ No		
	A "yes" answer will result in the Applicant being disqualified, regardless of the scores received by the Applicant for its responses to any other questions in this Questionnaire.		
G-10.	Has the Architect of Record or the Architect of Record's Firm (or any manager of the Architect of Record's Firm if the Architect of Record's Firm is not a person) <u>ever</u> been convicted of a federal or state crime of fraud, theft, or any other act of dishonesty?		

	∐ Yes	0	
			nalified, regardless of the scores any other questions in this
G-11.		•	ols or colleges in the last 5 years of the project was in excess of
	☐ Yes ☐ N	o If "Yes", how ma	ny?
G-12		ia schools or colleges in t	member of a design-build team in the last 5 years, in which the total cess of \$10 million?
	☐ Yes ☐ No	If "Yes", how ma	ny?
G-13	build of any projects (other th	an California school or c in which the total contrac	a design-build team in the design- ollege projects) anywhere in the t value for the construction of the
	☐ Yes ☐ No	o If "Yes", how ma	nv?

ARCHITECT CERTIFICATION

NOTE: Authorized person(s) with authority to execute this Certification shall sign this Certification on behalf of each of the persons or entities that is a constituent member of the Design-Build Entity that is the Applicant Qualifier seeking to be pre-qualified by the District. Make additional copies this certification page as needed to provide certification for each such person or legal entity.

I, the undersigned	, certify and declare that I hav	
read all the foregoing answers to this Pre-Qual	ification Questionnaire; that all responses are correct and	
complete of my own knowledge and belief. I d	eclare under penalty of perjury under the laws of the State	
of California, that the foregoing is true and corn	rect.	
	_	
(Signature)		
(Di. 4.4 1	_	
(Printed name)		
(Place of Execution)	_	
(Times of Execution)		
(Date)	_	
(Name of the person or entity* on whose behalf	f this Certificate is signed)	

SECTION "H" PRINCIPAL ENGINEER(S)

Provide the following information about each Principal Engineer proposed for prequalification. If a Principal Engineer is proposed to perform the services of more than one Principal Engineering Discipline, then submit separate responses on behalf of such Principal Engineer for each Principal Engineer Discipline that it will perform. Attach additional pages if necessary in order to respond to a Question.

H-1. Provide the following information:				
	Name:			
	License Nu	ımber:		
	Principal I	Engineer Discipline:		
	Years in P	ractice:		
H-2		cipal Engineer or Principal Engineer's Firm is differe and/or the Architect of Record, please answer the foll		of the General
Н-3	Date of co	mpany formation or incorporation:		
H-4	State of formation or incorporation:			
Н-5	How many persons does the Principal Engineer or the Principal Engineer's Firm currently employ?			
Н-6	If the Principal Engineer's Firm is a <u>corporation</u> , provide the following information for each officer of the corporation and each individual who owns 10% or more of the corporate stock:			
Pos	sition	Name and Address	Years with Co.	% Ownership

H-7	If the Pri		eer is an individu	ıal doing bu	siness as a	sole prop	<u>rietorship</u> , complete
			Owner				Years as Owner
Н-8	than a co	rporation), pr	-	ng informat	_	_	er association (other of the joint venture,
Na	or Entity C		% Ownership Interest in Firm	Position with Firm	Years with Firm	Name and Address of Principal Contact	
Н-9	Has there years?	e been any cha	ange in ownershi	p of the Prir	ncipal Engi	neer's Firr	n during the last three
	,	-	on whose share public trade).	s are public	cly traded	is not rec	quired to answer this
		□ Yes	□ No	If "Yes,"	explain on	a separate	page
H-10	Is the Prifirm?	ncipal Engine	eer's Firm a subs	idiary, pare	nt, holding	company	or affiliate of another
	another,		er, partner, or of) percent or more of Firm holds a similar
		□ Yes	□ No	If "Yes,"	explain on	a separate	page

H-11	Has any corporate officer or owner of the Principal Engineer's Firm worked for any other engineering firms in the past five years?			
	•		out other firms if an owner, par milar position in another firm).	rtner, or officer of the
	□ Yes	□ No	If "Yes," explain on a separa	te page
H-12	Has the Principal Eng	gineer's Firm cl	hanged names in the past five year	rs?
	□ Yes	□ No	If "Yes," explain on a separa	te page
	_		r all known engineers employed by who will be designing the Project of	-
En	gineer's Name		License Number	Years in Practice
SECTION "I" ESSENTIAL REQUIREMENTS ENGINEER(S)				
This Section "I" consists of <u>pass/fail</u> questions. Failure by Applicant to pass any of the Questions in this Section will result in the Applicant being <u>disqualified</u> , regardless of the scores received by the Applicant for its responses to any other questions in this Questionnaire. If the Applicant based on its responses is not disqualified, its ultimate rating will be based on the scoring of the scored questions, which follow in later Parts of this Questionnaire.				
I-1.	I-1. Does the Engineer(s) have current workers' compensation insurance policy as required by the Labor Code or are legally self-insured pursuant to Labor Code sections 3700 et. seq.?			
	Yes	☐ No		
	If yes, provide the following information. (Attach a separate page if more than one policy)			nore than one policy)

	Insured:
	Carrier:
	Policy Number:
I-2.	Has any Design-Build Entity Member (contractors, architects, engineers or others) or any of its Associates, ever been convicted of a crime involving the awarding of a contract for a government project, or the bidding or performance of a government contract within the last five (5) years?
	☐ Yes ☐ No
I-3.	Do all and engineers who are expected to work on the Project possess current California professional licenses for the services which they intend to provide that conform to the requirements of the Request for Prequalification?
	☐ Yes ☐ No
I-4.	Are all Principal Engineers covered by a professional liability insurance policy with a policy limit of at least \$2,000,000 per occurrence and in the aggregate from a California admitted company that provides coverage for work on a design-build contract?
	☐ Yes ☐ No
	If yes, provide the name of the insurance company, policy number, and policy limits. (Attach a separate page if more than one policy.
	Insurance Company
	Policy Number
	Policy limit per occurrence
	Aggregate policy limit
I-5.	Has any professional license held by any engineer who will provide services been revoked at any time in the last five years?
	☐ Yes ☐ No

SECTION "J" QUESTIONS FOR THE PRINCIPAL ENGINEER(S)

Applicant is to provide responses to each of the following questions pertaining to its proposed Principal Engineer(s). If more than one Principal Engineer is proposed, separate responses shall be provided for each proposed Principal Engineer. If one Principal Engineer is proposed to perform the services of more than one Principal Engineer Discipline, then a separate response is required from the proposed Principal Engineer for each such Principal Engineer Discipline. Responses will be scored. A failure of any proposed Principal Engineer to achieve the overall minimum score required for this Section "J" will result in the disqualification of the Applicant. If a Principal Engineer is proposed to perform the services of the a single Principal Engineer Discipline for more than one Project Facility, only one response for such Principal Engineer for all such Project Facilities is required. If a Principal Engineer is not disqualified, its score will be included in the calculation of the Applicant's total score for all scored questions of this Questionnaire.

	Name of Principal Engineer:
	Principal Engineer Discipline:
	Name of Firm:
J-1.	How many years has the Principal Engineer been licensed and practicing in California in the field or discipline for which prequalification is being requested?
	Years:
J-2.	Is the Principal Engineer or the Principal Engineer's Firm currently the debtor in a bankruptcy case?
	☐ Yes ☐ No
	If "yes," indicate the case number, bankruptcy court, and the date on which the petition was filed.
	Case Number Bankruptcy Court Date Filed

J-3.	Was the Principal Engineer or the Principal Engineer's Firm in bankruptcy at any time during the last five years? (This question refers only to a bankruptcy action that was not described in answer to question C-2, above)			
	Yes	No		
	If "yes," indicate the case nur filed.	mber, bankruptcy court, and th	e date on which the petition was	
	Case Number	Bankruptcy Court	Date Filed	
J-4.	<u> </u>	ved or otherwise prevented fro	Principal Engineer's Firm been om proposing on, or completing,	
	Yes	No		
	• • •	te page. State the name of the project, and the basis for the a	e organization debarred, the year action.	
J-5.	1 .	er or the Principal Engineer's	been filed in court or arbitration Firm concerning its engineering	
	Yes	No		
	claimant, a brief description	of the nature of the claim, the	e, date of the claim, name of the se court and case number, and a solved, a brief description of the	
J-6 .	claim in excess of \$50,000 ag		ncipal Engineer's Firm made any ing engineering work on a public on?	
	Yes	No		
	claim, name of the entity (or of the nature of the claim, the	entities) against whom the cla	g the project name, date of the aim was filed, a brief description brief description of the status of resolution).	

J-7.	In the last five years has any insurance carrier, for any form of insurance, refused to renew an insurance policy for the Principal Engineer or the Principal Engineer's Firm based on non-payment or losses?	
	☐ Yes ☐ No	
	If "yes," on separate page give name the insurance carrier, the form of insurance and the year of the refusal.	
J-8.	Has the Principal Engineer or the Principal Engineer's Firm (or any manager of the Principal Engineer's Firm if the Principal Engineer's Firm is not a person) <u>ever</u> been found liable in a civil suit or found guilty in a criminal action for making any false claim or material misrepresentation to any public agency or entity?	
	☐ Yes ☐ No	
	A "yes" answer will result in the Applicant being disqualified, regardless of the scores received by the Applicant for its responses to any other questions in this Questionnaire.	
J-9.	Has the Principal Engineer or the Principal Engineer's Firm (or any manager of the Principal Engineer's Firm if the Principal Engineer's Firm is not a person) <u>ever</u> been convicted of a crime involving any federal, state, or local law related to construction?	
	☐ Yes ☐ No	
	A "yes" answer will result in the Applicant being disqualified, regardless of the scores received by the Applicant for its responses to any other questions in this Questionnaire.	
J-10.	Has the Principal Engineer or the Principal Engineer's Firm (or any manager of the Principal Engineer's Firm if the Principal Engineer's Firm is not a person) <u>ever</u> been convicted of a federal or state crime of fraud, theft, or any other act of dishonesty?	
	☐ Yes ☐ No	
	A "yes" answer will result in the Applicant being disqualified, regardless of the scores received by the Applicant for its responses to any other questions in this Questionnaire.	
J-11.	Has the Principal Engineer performed engineering services, in the Principal Engineer Discipline for which it is seeking prequalification, for any California schools or colleges in the last 5 years in which the total contract value for the construction of the project was in excess of \$10,000,000?	

	☐ Yes ☐ No If "Yes", how many?
J-12	Has the Principal Engineer participated as an engineering member, providing services in the Principal Engineer Discipline for which it is seeking prequalification, of a design-build team in the design-build of any California schools or colleges in the last 5 years, in which the total contract value of the construction of the project was in excess of \$10 million?
	☐ Yes ☐ No If "Yes", how many?
J-13	Has the Principal Engineer participated as the engineering member, providing services in the Principal Engineer Discipline for which it is seeking prequalification, of a design-build team in the design-build of any projects (other than California school or college projects) anywhere in the United States in the last 5 years, in which the total contract value for the construction of the project was in excess of \$10 million?
	☐ Yes ☐ No If "Yes", how many?

ENGINEER CERTIFICATION

NOTE: Authorized person(s) with authority to execute this Certification shall sign this Certification on behalf of each of the persons or entities that is a constituent member of the Design-Build Entity that is the Applicant Qualifier seeking to be pre-qualified by the District. Make additional copies this certification page as needed to provide certification for each such person or legal entity.

I, the undersigned	, certify and declare that I have
5 5	ication Questionnaire; that all responses are correct and clare under penalty of perjury under the laws of the State et.
(Signature)	
(Printed name)	
(Place of Execution)	
(Date)	
(Name of the person or entity* on whose behalf t	_ his Certificate is signed)

SECTION "K" ELECTRICAL SUBCONTRACTOR(S)

Provide the following information about each Electrical Subcontractor proposed for prequalification. Attach additional pages if necessary in order to respond to a Question.

K-1.	Name of Electrical Subcontractor:			
K-2.	Date of company formation or incorporation:			
K-3.	State of formation or incorporation:			
K-4.	How many persons does the Electrical Subcontractor currently employ?			
K-5 If the Electrical Subcontractor is a <u>corporation</u> , provide the following information for each officer of the corporation and each individual who owns 10% or more of the corporate stock:				
	Position	Name and Address	Years with C	
K-6 If the Electrical Subcontractor is a <u>sole proprietorship</u> , complete the following:				
	Owner			Years as Owner

	partnership or other a	•	3 imormation	i foi each men	nber of the joint venture,
N	lame of Individual or Entity	% Ownership Interest in Firm	Position with Firm	Years with Firm	Name and Address of Principal Contact
-8.	Has there been any years?	change in owne	ership of the	Electrical Subco	ontractor during the last the
	(NOTE: A corporati with regard to public		s are publicly	traded is not rec	quired to answer this quest
	□ Ye	s 🗆 No	If "Ye	es," explain on a	separate page
-9.	Is the Electrical Subc	ontractor a subs	idiary, parent,	holding compar	y or affiliate of another firm
	(NOTE: Include info				50 percent or more of anoth on in another firm).
	□ Ye	s 🗆 No	If "Ye	es," explain on a	separate page
10.	State the Electrical S	ubcontractor's g	ross revenues	for each of the la	ast three years:
	VEAD.	YEAR		NATE A L	R:

\$:	\$:	\$:

K-11. List all California contractor license numbers, classifications and expiration dates currently held by the Electrical Subcontractor:

License Number	Classification	Date Issued	Expiration Date

Has the Electrical So	ubcontractor cha	anged names o	r license numbers	in the past five years?	
□ Y	es □ No	o If "Y	es", explain on a s	separate page	
•	-	-		-	
□Y	es □ No	o If "Y	es", explain on a s	separate page	
Surety Informati	ion for Electrica	Subcontracto	r:		
Bonding Co./Su	rety:				
Surety Agent: _					
Agent Address:					
Telephone No.:					
	Has any owner, CS contractor under are Y Surety Informati Bonding Co./Su Surety Agent: Agent Address:	☐ Yes ☐ No Has any owner, CSLB qualifier or contractor under any other name or ☐ Yes ☐ No Surety Information for Electrical Bonding Co./Surety:	☐ Yes ☐ No If "Yes ☐ Surety Information for Electrical Subcontractor Bonding Co./Surety:	☐ Yes ☐ No If "Yes", explain on a selectrical subscription of the Electrical Subscription of	

K-15. List all other sureties (name and full address) that have written bonds for your firm during the last five years, including the periods during which each wrote the bonds:

Surety	Address	Periods of Coverage

SECTION "L" ESSENTIAL REQUIREMENTS ELECTRICAL SUBCONTRACTOR

This Section "L" consists of <u>pass/fail questions</u>. Failure by Applicant to pass any of the Questions in this Section "L" will result in the Applicant being <u>disqualified</u>, regardless of the scores received by the Applicant for its responses to any other questions in this Questionnaire. If the Applicant based on its responses is not disqualified, its ultimate rating will be based on the scoring of the scored questions, which follow in later Parts of this Questionnaire.

L-1.	• Does the Proposed Subcontractor possess a current California contractor's license for Project for which it intends to submit a proposal that conforms to the requirements of Request for Prequalification?		
	Yes	☐ No	
L-2.		1725.5 and §1771 Contractor is currently registered as a contractor idustrial Relations (DIR)?	
	Yes	☐ No	
D	IR Registration #:	DIR Expiration Date:	
L-3.	1	contractor have current workers' compensation insurance policy as ode or are legally self-insured pursuant to Labor Code sections 3700	

	☐ Yes ☐ No
	If yes, provide the following information. (Attach a separate page if more than one policy)
	Insured:
	Carrier:
	Policy Number:
L-4.	Has any contractor license held by the Proposed Subcontractors or their Associates, been revoked or suspended within the last five (5) years?
	☐ Yes ☐ No
L-5.	Has a surety firm completed a contract or paid for completion of a contract on behalf of the Proposed Subcontractor because they were terminated by the project owner within the last five (5) years?
	☐ Yes ☐ No
L-6.	Within the last five years was the Proposed Subcontractor declared ineligible to bid on a public works contract, to be awarded a public works contract, or to perform as a subcontractor on a public works contract, pursuant to either Labor Code section 1777.1 or Labor Code section 1777.7?
	☐ Yes ☐ No
L-7.	Has any Proposed Subcontractor or any of its Associates, ever been convicted of a crime involving the awarding of a contract for a government project, or the bidding or performance of a government contract within the last five (5) years?
	☐ Yes ☐ No
L-8.	Has any professional license held by any engineer with your firm who will provide services been revoked at any time in the last five years?
	☐ Yes ☐ No
L-9.	Does the Proposed Subcontractor seeking prequalification, know and understand their obligations regarding the employment of apprentices on public works under Labor Code section 1777.5 and California Code of Regulations, Title 8, section 230.1, and do they intend to comply with these requirements, including the requirement, if applicable, to request the dispatch of apprentices from an apprenticeship program approved by the California Apprenticeship Council?

	☐ Yes ☐ No
L-10.	Is the Proposed Subcontractor's experience modification rate for the most recent three-year period an average of 1.00 or less? NOTE: Failure to achieve an EMR average of 1.00 or less for three years average disqualify the Proposed Subcontractor.
	☐ Yes ☐ No
L-11.	Does the Proposed Subcontractor have an injury prevention program instituted pursuant to Section 3201.5 or 6401.7 of the California Labor Code
	☐ Yes ☐ No
	SECTION "M"
	QUESTIONS FOR THE ELECTRICAL SUBCONTRACTOR(S)
Subcontra only one Response "M" will overall m Electrical Project F Project I prequalifi points fo	shall be provided for each proposed Electrical Subcontractor. If an Electrical actor is proposed to perform the electrical trade work for more than one Project Facility, response for such Electrical Subcontractor for all such Project Facilities is required, swill be scored; however, evaluation of Electrical Subcontractor scores for this Section be on a pass/fail basis. Any Electrical Subcontractor that fails to receive the required ninimum score for this Section "M" will be disqualified. The failure of at least one Subcontractor (or, if separate Electrical Subcontractors are proposed for separate acilities, the failure of at least of one of the Electrical Subcontractors proposed for each facility) to achieve the overall minimum score required by this Section "M" for ication shall result in the disqualification of the Applicant for the entire Project. Scored or the Electrical Subcontractor(s) will not be added or deducted to determine the test total score.
	Electrical Subcontractor Name:
M-1.	How many years has the Electrical Subcontractor been licensed in California to perform electrical subcontracting work? Years:
M-2.	Is the Electrical Subcontractor or any of its Associates currently the debtor in a bankruptcy case?
	☐ Yes ☐ No
	If "yes," indicate the case number, bankruptcy court, and the date on which the petition was filed.

	Case Number	Bankruptcy Court	Date Filed
M-3.		question refers only to a bank	ates in bankruptcy at any time during the cruptcy action that was not described in
	Yes	☐ No	
	If "yes," indicate the c filed.	ase number, bankruptcy court	and the date on which the petition was
	Case Number	Bankruptcy Court	Date Filed
M-4.			Subcontractor or any of its Associates 00 on a public construction contract?
	Yes	☐ No	
	name of entity against	whom assessment was made lamages assessed and all othe	ch projects by owner, owner's address, the date of completion of the project, r information necessary to fully explain
M-5.	-	has the Electrical Subcontraction a public construction contraction	etor or any of its Associates ever been ct?
	☐ Yes	☐ No If "Yes", ex	aplain on a separate page
M-6.	•	or otherwise prevented from	or any of its Associates been debarred, bidding on, or completing, any public
	Yes	☐ No	
		eparate page. State the name the project, and the basis for t	of the organization debarred, the year of he action.

M-7.	Has the Electrical Subcontractor or any of its Associates <u>ever</u> been denied an award of a public construction contract based on a finding by a public agency that they were not a responsible bidder?
	☐ Yes ☐ No
	If "yes," on a separate page identify the year of the event, the entity denied the award, the owner, the project, and the basis for the finding by the public agency.
	(NOTE: The following two questions refer only to disputes between contractors and owners of projects. You need not include information about disputes with suppliers or other contractors.
M-8.	In the past five years has any claim in excess of \$50,000 been filed in court or arbitration against the Electrical Subcontractor or any of its Associates concerning their work on a public construction project?
	☐ Yes ☐ No
	If "yes," on a separate page identify the claim(s) by providing the project name, date of the claim, name of the claimant, the name of the entity the claim was filed against, a brief description of the nature of the claim, the court and case number, and a brief description of the status of the claim (pending or, if resolved, a brief description of the resolution).
M-9.	In the past five years has the Electrical Subcontractor or any of its Associates made any claim in excess of \$50,000 against a project owner or a general contractor concerning work on a public construction project or payment for a public construction contract and filed that claim in court or arbitration?
	☐ Yes ☐ No
	If "yes," on a separate page identify the claim by providing the name of claimant, the project name, date of the claim, name of the entity (or entities) against whom the claim was filed, a brief description of the nature of the claim, the court and case number, and a brief description of the status of the claim (pending, or if resolved, a brief description of the resolution).
M-10.	In the last five years has any insurance carrier, for any form of insurance, refused to renew the insurance policy for the Electrical Subcontractor or any of its Associates due to non-payment or contractor losses?
	☐ Yes ☐ No
	If "yes," on a separate page give name of the insured, name the insurance carrier, the form of insurance, and the year of the refusal.

M-11.	Has the Electrical Subcontractor or any of its Associates (or any manager of the Associate if the Associate is not a person) ever been found liable in a civil suit or found guilty in a criminal action for making any false claim or material misrepresentation to any public entity?
	☐ Yes ☐ No
	A "yes" answer will result in the Applicant being disqualified, regardless of the scores received by the Applicant for its responses to any other questions in this Questionnaire.
M-12.	Has the Electrical Subcontractor or any of its Associates (or any manager of the Associate if the Associate is not a person) <u>ever</u> been convicted of a crime involving any federal, state, or local law related to construction?
	☐ Yes ☐ No
	A "yes" answer will result in the Applicant being disqualified, regardless of the scores received by the Applicant for its responses to any other questions in this Questionnaire.
M-13.	Has the Electrical Subcontractor or any of its Associates (or any manager of the Associate if the Associate is not a person) <u>ever</u> been convicted of a federal or state crime of fraud, theft, or any other act of dishonesty?
	☐ Yes ☐ No
	A "yes" answer will result in the Applicant being disqualified, regardless of the scores received by the Applicant for its responses to any other questions in this Questionnaire.
M-14.	During the last five years, has there ever been a period of time when the Electrical Subcontractor or any of its Associates had no surety bond in place during a public construction project when one was required?
	☐ Yes ☐ No
	If yes, indicate the period during which no surety bonds were in place, name of entity without surety bond, the name of project owner, and if coverage was denied the date coverage was denied and the name of the company that denied coverage.
M-15.	Has CAL OSHA cited and assessed penalties against the Electrical Subcontractor or any of its Associates for any "serious," "willful" or "repeat" violations of its safety or health regulations in the past five years?

	(NOTE: If you have filed an appeal of a citation, and the Occupational Safety and Health Appeals Board has not yet ruled on your appeal, you need not include information about it.)
	☐ Yes ☐ No
	If "yes," on separate page describe the citations, the party against whom the citation was made, date of citation, nature of the violation, project on which the citation was issued, owner of project, and the amount of penalty paid, if any. State the case number and date of any OSHAB decision.
M-16.	Has the Federal Occupational Safety and Health Administration cited and assessed penalties against the Electrical Subcontractor or any of its Associates in the past five years?
	(NOTE: If an appeal of the citation has been filed and the Appeals Board has not yet ruled, or if there is a court appeal pending, you need not include information about the citation.)
	☐ Yes ☐ No
	If "yes," on separate page describe the citations, the party against whom the citation was made, date of citation, nature of the violation, project on which the citation was issued, owner of project, and the amount of penalty paid, if any. State the case number and date of any decision.
M-17.	Has the EPA or any Air Quality Management District or any Regional Water Quality Control Board cited and assessed penalties against either the Electrical Subcontractor, or any of its Associates, or the owner of a project during the time in which the preceding parties were performing on a contract in the past five years?
	(NOTE: If an appeal of the citation has been filed and there is no ruling yet, or if there is a court appeal pending, you need not include information about the citation.)
	☐ Yes ☐ No
	If "yes," on separate page describe the citations, the party against whom the citation was made, date of citation, nature of the violation, project on which the citation was issued, owner of project, and the amount of penalty paid, if any. State the case number and date of any decision.
M-18.	How often does the Electrical Subcontractor require documented safety meetings to be held for construction employees and field supervisors during the course of a project?
M-19.	List the Electrical Subcontractor's Experience Modification Rate (EMR) (California workers' compensation insurance) for each of the past three premium years:

	(NOTE: An Experience Modification Rate is issued to your firm annually by your workers' compensation insurance carrier.)				
	Year: Year: Year:	2011 2010 2009	EMR: EMR: EMR:		
		to meet an averag	ge of 1.00 or below for the past three years will result in esign Build Team.		
	period 2	or less? If the Ele	actor's average lost work day rate for the most recent three year actrical Subcontractor's average lost work day rate (LWR) is a three the Electrical Subcontractor will be disqualified.		
		Yes No			
•	Year: Year: Year:	2011 2010 2009	Lost Work Day Rate: Lost Work Day Rate: Lost Work Day Rate:		
M-21.	any of	_	s has there ever been a period when the Electrical Subcontractor or d employees but was without workers' compensation insurance or ance?		
		Yes	□ No		
	separat insurar Electric busines insurar	he page. If "No," hee carrier that ver cal Subcontractor ss for less than face carrier verifying	the reason for the absence of workers' compensation insurance on a please provide a statement by your current workers' compensation rifies periods of workers' compensation insurance coverage for the for the last five years. (If the Electrical Subcontractor has been in five years, provide a statement by your workers' compensation ng continuous workers' compensation insurance coverage for the been in business.)		
M-22.	Subcor	ntractor or any of	an one occasion during the last five years in which the Electrical its Associates was required to pay either back wages or penalties a the California state prevailing wage laws?		
		Yes	□ No		
	the pro	oject, date of its	te page, describing the violator, nature of each violation, name of completion, the public agency for which it was constructed; the who were initially underpaid and the amount of back wages and sed.		

M-23.	3. Does the Electrical Subcontractor operate its own State-approved apprenticeship program If "yes," provide the following information on a separate page:					ticeship program?	
		☐ Yes	□ No				
	(a)	Identify the craf	t or crafts in w	hich you p	provided ap	prenticeship	training in the pas
	(b)		most recent Ca				proved, and attach pproval(s) of your
	(c)	any time during	the past threaring the past t	e years in	each appre	enticeship ar	n as apprentices at nd the number of hips in each craft
M-24.	Associ	_	to have violated	d any prov	ision of Cal	ifornia appro	etor or any of its enticeship laws or
		Yes	☐ No				
	If "yes	," provide the dat	e of the finding	s and attac	n a copy of	the final dec	ision.
	Date o	f Findings					
M-25.	progra gradua	ms which have b	een approved the preceding	by the Cal five years,	ifornia App for all appi	renticeship	red apprenticeship Council and have afts which may be
	for any Industr	y craft that has no	ot been deemed	by the De	partment of	Labor and t	enticeship training the Department of October, 1999 to
		Yes	☐ No				
M-26.	the con		California scho	ool or colle	ge projects	in the last 5	cal work portion of years in which the 000,000?
		Yes	☐ No	If "Yes",	how many	?	

ELECTRICAL SUBCONTRACTOR CERTIFICATION

NOTE: Authorized person(s) with authority to execute this Certification shall sign this Certification on behalf of each of the persons or entities that is a constituent member of the Design-Build Entity that is the Applicant Qualifier seeking to be pre-qualified by the District. Make additional copies this certification page as needed to provide certification for each such person or legal entity.

I, the undersigned	, certify and declare that I have
	fication Questionnaire; that all responses are correct and eclare under penalty of perjury under the laws of the State
of California, that the foregoing is true and corre	
	_
(Signature)	
(Drinted name)	-
(Printed name)	
(Place of Execution)	_
	_
(Date)	

(Name of the person or entity* on whose behalf	this (Certificate	is	signed)
--	--------	-------------	----	---------

SECTION "N" MECHANICAL SUBCONTRACTOR(S)

Provide the following information about each Mechanical Subcontractor proposed for prequalification. Attach additional pages if necessary in order to respond to a Question.

N-1.	Name of Mechanical Subcontractor:
N-2.	Date of company formation or incorporation:
N-3.	State of formation or incorporation:
N-4.	How many persons does the Mechanical Subcontractor currently employ?
N-5.	If the Mechanical Subcontractor is a <u>corporation</u> , provide the following information for each officer of the corporation and each individual who owns 10% or more of the corporate stock:

Position	Name and Address	Years with Co.	% Ownership

		Owner			Years as Owner
N-7.	than a corpora		following info	-	nip or other association (other or each member of the joint
Name of Individual or Entity		% Ownership Interest in Firm	Position with Firm	Years with Firm	Name and Address of Principal Contact
N-8.	Has there beer three years?	any change in o	wnership of the	e Mechanic	al Subcontractor during the
	,	orporation whose egard to public trac	-	licly traded	is not required to answer
		Yes □ No	If "Yes"	', explain or	a separate page
	☐ Yes☐ NoIf "Yes", explain on a separate pageN-9. Is the Mechanical Subcontractor a subsidiary, parent, holding company or affiliate of firm?				

firm).

	Yes □ No	If "Yes", explain on a	separate page			
N-10. State the Mechanical Subcontractor's gross revenues for each of the last three years:						
YEAR:	YEAR:	YEA	R:			
\$:	\$:		\$:			
N-11. List all Califorate held by the M	ornia contractor license echanical Subcontractor	numbers, classifications:	and expiration dates currently			
License Number	Classification	Date Issued	Expiration Date			
N-12. Has the Mech	anical Subcontractor cha	anged names or license n	umbers in the past five years?			
		If "Yes", explain on a	-			
N-13. Has any owner, CSLB qualifier or corporate officer of the Mechanical Subcontractor						
operated as a contractor under any other name or license number (not listed in 9 above) in the last five years?						
	Yes □ No	If "Yes", explain on a	separate page			
N-14. Surety Inform	ation for Mechanical Su	bcontractor:				
Bonding Co./S	Surety:					
Surety Agent:						

Agent Address:		
Telephone No.:		
· · · · · · · · · · · · · · · · · · ·	ne and full address) that have written bonds for the dates during which each wrote the bonds:	your firm during the
Surety	Address	Dates
ES	SECTION "O" SSENTIAL REQUIREMENTS	
MEC	CHANICAL SUBCONTRACTOR	
	ail questions. Failure by Applicant to pass are Applicant being disqualified, regardless of	•
by the Applicant for its responses	to any other questions in this Questionnair ualified, its ultimate rating will be based on	e. If the Applicant
scored questions, which follow in la	,	one scoring or the
1	ontractor possess a current California contractor ds to submit a proposal that conforms to the recon?	
☐ Yes	☐ No	
O-2. Pursuant to Labor Code §1 with the Department of Ind	725.5 and §1771 Contractor is currently register dustrial Relations (DIR)?	red as a contractor

	∐ Yes	∐ No
DI	R Registration #:	DIR Expiration Date:
O-3.	-	contractor have current workers' compensation insurance policy as ode or are legally self-insured pursuant to Labor Code sections 3700
	—	☐ No ring information. (Attach a separate page if more than one policy)
	Insured:	
	Carrier:	
	Policy Number:	
O-4.	<u> </u>	se held by the Proposed Subcontractors or their Associates, been thin the last five (5) years?
	Yes	□ No
O-5.	• •	eted a contract or paid for completion of a contract on behalf of the because they were terminated by the project owner within the last
	☐ Yes	□ No
O-6.	public works contract,	rs was the Proposed Subcontractor declared ineligible to bid on a to be awarded a public works contract, or to perform as a c works contract, pursuant to either Labor Code section 1777.1 or 1.7?
	☐ Yes	□ No
O-7.	involving the awarding	ontractor or any of its Associates, ever been convicted of a crime of a contract for a government project, or the bidding or ment contract within the last five (5) years?
	Yes	□ No

O-8.	Has any professional lice been revoked at any time	nse held by any engineer with your firm who will provide services in the last five years?
	☐ Yes	□ No
О-9.	obligations regarding the section 1777.5 and Califinate to comply with the section of the sectio	contractor seeking prequalification, know and understand their employment of apprentices on public works under Labor Code fornia Code of Regulations, Title 8, section 230.1, and do they these requirements, including the requirement, if applicable, to apprentices from an apprenticeship program approved by the Council?
	Yes	□ No
O-10.	period an average of 1.00	ntractor's experience modification rate for the most recent three-year 0 or less? NOTE: Failure to achieve an EMR average of 1.00 or ge disqualify the Proposed Subcontractor.
	Yes	□ No
0-11.	-	ontractor have an injury prevention program instituted pursuant to of the California Labor Code
	☐ Yes	☐ No
	QUESTIONS FO	SECTION "P" OR THE MECHANICAL SUBCONTRACTOR(S)
Mechanic responses Subcontra Facility, or required. this Section required one Mechanic failure of minimum disqualifie	al Subcontractor(s). If no shall be provided for actor is proposed to perpently one response for sure Responses will be scored on "P" will be on a pass/foverall minimum score for an ical Subcontractor (of at least of one of the actor score required by the cation of the Applicant actor(s) will not be added	to each of the following questions pertaining to its proposed nore than one Mechanical Subcontractor is proposed, separate each proposed Mechanical Subcontractor. If a Mechanical rform the mechanical trade work for more than one Project ich Mechanical Subcontractor for all such Project Facilities is it; however, evaluation of Mechanical Subcontractor scores for fail basis. Any Mechanical Subcontractor that fails to receive the for this Section "P" will be disqualified. The failure of at least or, if separate Mechanical Subcontractors are proposed, the Mechanical Subcontractors proposed) to achieve the overall this Section "P" for prequalification shall result in the for the entire Project. Scored points for the Mechanical or deducted to determine the Applicant's total score.
	Mechanical Subcontracto	r Name:

P-1.	mechanical subcontract	ting work? Years:	•			
P-2.	Is the Mechanical Subcontractor or any of its Associates currently the debtor in a bankruptc case?					
	Yes	☐ No				
	If "yes," indicate the cafiled.	ase number, bankruptcy court, and t	he date on which the petition was			
	Case Number	Bankruptcy Court	Date Filed			
P-3.	the last five years? (Th	Was the Mechanical Subcontractor or any of its Associates in bankruptcy at any time during the last five years? (This question refers only to a bankruptcy action that was not described in answer to question E-2, above)				
	Yes	☐ No				
	If "yes," indicate the cafiled.	ase number, bankruptcy court, and t	he date on which the petition was			
	Case Number	Bankruptcy Court	Date Filed			
P-4.	At any time in the last five years has the Mechanical Subcontractor or any of its Associate been assessed liquidated damages of more than \$50,000 on a project under a public construction contract?					
	Yes	☐ No				
	name of entity against	parate page, identifying all such pro whom assessment was made, the c lamages assessed and all other infordated damages.	late of completion of the project			
P-5.	In the last five years ha	s the Mechanical Subcontractor or a n contract?	ny of its Associates ever defaulted			
	Yes	☐ No If "Yes", explain o	on a separate page.			
P-6.	_	s the Mechanical Subcontractor or an or otherwise prevented from bidding any reason?	•			

	Yes No
	If "yes," explain on a separate page. State the name of the organization debarred, the year of the event, the owner of the project, and the basis for the action.
P-7.	Has the Mechanical Subcontractor or any of its Associates <u>ever</u> been denied an award of a public construction contract based on a finding by a public agency that they were not a responsible bidder?
	☐ Yes ☐ No
	If "yes," on a separate page identify the year of the event, the entity denied the award, the owner, the project, and the basis for the finding by the public agency.
	(NOTE: The following two questions refer only to disputes between contractors and owners of projects. You need not include information about disputes with suppliers, or other contractors.
P-8.	In the past five years has any claim in excess of \$50,000 been filed in court or arbitration against the Mechanical Subcontractor or any of its Associates concerning their work on a public construction project?
	☐ Yes ☐ No
	If "yes," on a separate page identify the claim(s) by providing the project name, date of the claim, name of the claimant, the name of the entity the claim was filed against, a brief description of the nature of the claim, the court and case number, and a brief description of the status of the claim (pending or, if resolved, a brief description of the resolution).
P-9.	In the past five years has the Mechanical Subcontractor or any of its Associates made any claim in excess of \$50,000 against a project owner or a general contractor concerning work on a public construction project or payment for a public construction contract and filed that claim in court or arbitration?
	☐ Yes ☐ No
	If "yes," on a separate page identify the claim by providing the name of claimant, the project name, date of the claim, name of the entity (or entities) against whom the claim was filed, a brief description of the nature of the claim, the court and case number, and a brief description of the status of the claim (pending, or if resolved, a brief description of the resolution).
P-10.	In the last five years has any insurance carrier, for any form of insurance, refused to renew the

insurance policy for the Mechanical Subcontractor or any of its Associates due to non-payment or contractor losses?

	Yes No
	If "yes," on a separate page give name of the insured, name the insurance carrier, the form of insurance, and the year of the refusal.
P-11.	Has the Mechanical Subcontractor or any of its Associates (or any manager of the Associate if the Associate is not a person) ever been found liable in a civil suit or found guilty in a criminal action for making any false claim or material misrepresentation to any public entity?
	☐ Yes ☐ No
	A "yes" answer will result in the Applicant being disqualified, regardless of the scores received by the Applicant for its responses to any other questions in this Questionnaire.
P-12.	Has the Mechanical Subcontractor or any of its Associates (or any manager of the Associate if the Associate is not a person) <u>ever</u> been convicted of a crime involving any federal, state, or local law related to construction?
	☐ Yes ☐ No
	A "yes" answer will result in the Applicant being disqualified, regardless of the scores received by the Applicant for its responses to any other questions in this Questionnaire.
P-13.	Has the Mechanical Subcontractor or any of its Associates (or any manager of the Associate if the Associate is not a person) <u>ever</u> been convicted of a federal or state crime of fraud, theft, or any other act of dishonesty?
	☐ Yes ☐ No
	A "yes" answer will result in the Applicant being disqualified, regardless of the scores received by the Applicant for its responses to any other questions in this Questionnaire.
P-14.	During the last five years, has there ever been a period of time when the Mechanical Subcontractor or any of its Associates had no surety bond in place during a public construction project when one was required?
	☐ Yes ☐ No
	If yes, indicate the period during which no surety bonds were in place, name of entity without surety bond, the name of project owner, and if coverage was denied the date coverage was denied and the name of the company that denied coverage.

P-15.	Has CAL OSHA cited and assessed penalties against the Mechanical Subcontractor or any of its Associates for any "serious," "willful" or "repeat" violations of its safety or health regulations in the past five years?
	(NOTE: If you have filed an appeal of a citation, and the Occupational Safety and Health Appeals Board has not yet ruled on your appeal, you need not include information about it.)
	Yes No
	If "yes," on separate page describe the citations, the party against whom the citation was made, date of citation, nature of the violation, project on which the citation was issued, owner of project, and the amount of penalty paid, if any. State the case number and date of any OSHAB decision.
P-16.	Has the Federal Occupational Safety and Health Administration cited and assessed penalties against the Mechanical Subcontractor or any of its Associates in the past five years?
	(NOTE: If an appeal of the citation has been filed and the Appeals Board has not yet ruled, or if there is a court appeal pending, you need not include information about the citation.)
	Yes No
	If "yes," on separate page describe the citations, the party against whom the citation was made, date of citation, nature of the violation, project on which the citation was issued, owner of project, and the amount of penalty paid, if any. State the case number and date of any decision.
P-17.	Has the EPA or any Air Quality Management District or any Regional Water Quality Control Board cited and assessed penalties against either the Mechanical Subcontractor, or any of its Associates, or the owner of a project during the time in which the preceding parties were performing on a contract in the past five years?
	(NOTE: If an appeal of the citation has been filed and there is no ruling yet, or if there is a court appeal pending, you need not include information about the citation.)
	Yes No
	If "yes," on separate page describe the citations, the party against whom the citation was made, date of citation, nature of the violation, project on which the citation was issued, owner of project, and the amount of penalty paid, if any. State the case number and date of any decision.
P-18.	How often does the Mechanical Subcontractor require documented safety meetings to be held for construction employees and field supervisors during the course of a project?

P-19.	List the Mechanical Subcontractor's Experience Modification Rate (EMR) (California workers' compensation insurance) for each of the past three premium years:				
		n Experience I		ion Rate is issued to your firm annually by your workers'	
	Year:	2011 2010 2009			
		meet an average ation of the D	_	or below for the past three years will result in ld Team.	
P-20.	year perio	d 2 or less? If a three year av	the Mecha	s average lost work day rate for the most recent three anical Subcontractor's average lost work day rate reeding 2 the Mechanical Subcontractor will be	
		Yes No			
	Year: Year: Year:	2011 2010 2009		Lost Work Day Rate: Lost Work Day Rate: Lost Work Day Rate:	
P-21.	or any of i		had emplo	e ever been a period when the Mechanical Subcontractor oyees but was without workers' compensation insurance	
		Yes	☐ No		
	separate pa insurance of Mechanica in business insurance of	nge. If "No," parrier that ver I Subcontractors for less than	please pro rifies perion or for the land five year or good to the pro- ries of the pro- rie	for the absence of workers' compensation insurance on a pyide a statement by your current workers' compensation ods of workers' compensation insurance coverage for the ast five years. (If the Mechanical Subcontractor has been rs, provide a statement by your workers' compensation uous workers' compensation insurance coverage for the usiness.)	
P-22.	Subcontrac	ctor or any of	its Associ	asion during the last five years in which the Mechanical iates was required to pay either back wages or penalties fornia state prevailing wage laws?	
		Yes	☐ No		

If "yes," attach a separate page, describing the violator, nature of each violation, name of the project, date of its completion, the public agency for which it was constructed; the number of employees who were initially underpaid and the amount of back wages and penalties that were assessed.

	the Mechanical Subcontractor operate its own State-approved apprenticeship m? If "yes," provide the following information on a separate page:						
	☐ Yes ☐ No						
(a)	Identify the craft or crafts in which you provided apprenticeship training in the past year.						
(b)	State the year in which each such apprenticeship program was approved, and attach evidence of the most recent California Apprenticeship Council approval(s) of your apprenticeship program(s).						
(c)	State the number of individuals who were employed by your firm as apprentices at any time during the past three years in each apprenticeship and the number of persons who, during the past three years, completed apprenticeships in each craft while employed by your firm.						
-24. At any time during the last five years, has the Mechanical Subcontractor or any Associates been found to have violated any provision of California apprenticeship la regulations, or the laws pertaining to use of apprentices on public works?							
	☐ Yes ☐ No						
If "yes," provide the date of the findings and attach a copy of the final decision.							
Date o	f Findings						
progra gradua	reements exist between the Mechanical Subcontractor and registered apprenticeship ms which have been approved by the California Apprenticeship Council and have sted apprentices in the preceding five years, for all apprenticable crafts which may be yed by the Mechanical Subcontractor on this project?						
trainin Depart	E: This graduation requirement shall not apply to programs providing apprenticeship g for any craft that has not been deemed by the Department of Labor and the ment of Industrial Relations to be an apprenticable craft within the period of October, o October, 2001.)						
	☐ Yes ☐ No						
	(a) (b) (c) At any Associate regular						

P-26.	Has the Mechanical Subcontractor performed a subcontract for the mechanical work portion of the construction of any California school or college projects in the last 5 years in which the total contract price of the mechanical work constructed was in excess of \$5,000,000?						
		Yes	□ No	If "Yes", how many?			
		<u>N</u>		L SUBCONTRACTOR TIFICATION			
	shall sign that is a Applicant additional	this Cert constitue Qualifier copies th	ification on beent member of seeking to b	th authority to execute this Certification ehalf of each of the persons or entities of the Design-Build Entity that is the per pre-qualified by the District. Make a page as needed to provide certification by.			
I, the unde	•		1. 5. 0. 1/2	, certify and declare that I ha			
complete of		wledge aı	nd belief. I dec	ication Questionnaire; that all responses are correct a clare under penalty of perjury under the laws of the State.			
(Signature)						
(Printed na	ame)						
(Place of I	Execution)						

(Date)			-				
(Name of	the person o	or entity* on whose behalf	this Certificate is signed)				
		and					
			CTION "Q" BCONTRACTOR(S)				
		0	out each Other Subcon ecessary in order to respon	-	-		
Q-1	Name of S	ubcontractor:					
Q-2	Subcontrac	ctor Trade:					
Q-3.	Date of company formation or incorporation:						
Q-4.	4. State of formation or incorporation:						
Q-5.	How many	persons does the Subcont	ractor currently employ?				
Q-6.			, provide the following inforwho owns 10% or more of the				
Pos	sition	Name a	nd Address	Years with Co.	% Ownership		

Q-7 If the Subcontractor is a <u>sole proprietorship</u>, complete the following:

Owner	Years as Owner

Q-8 If the Subcontractor is a joint venture, partnership or other association (other than a corporation), provide the following information for each member of the joint venture, partnership or other association:

Name of Individual or Entity	% Ownership Interest in Firm	Position with Firm	Years with Firm	Name and Address of Principal Contact

Q-9.	Has there been a	any change in o	wnership of the Su	bcontractor du	uring the last th	ree years?
	(NOTE: A co question with re	-	e shares are publ rades).	icly traded is	not required	to answer this
	□ Y	Yes □ N	o If "Yes",	explain on se	parate page	
Q-10.	Is the Subcontra	actor a subsidiar	y, parent, holding	company or af	filiate of anothe	er firm?
	□ Y	es □ N	o If "Yes",	explain on a	separate page	
Q-11.	State the Subcor	ntractor's gross	revenues for each	of the last thre	ee years:	
	YEAR:	YEA	R:	YEAR:		_
	\$:	\$: _		\$:		_
Q-12.	List all Californ held by the Other		cense numbers, cl	assifications a	and expiration	dates currently
Lic	cense Number	Cla	ssification	Date Issue	ed Expir	ration Date
Q-13.	Has the Subcont	tractor changed	names or license n	umbers in the	past five years	?
		Yes □ N	o If "Yes",	explain on a	separate page	
Q-14.	~	-	ier or corporate on the or license num			-
	years?	Ž				
		•	o If "Yes",	, explain on a	separate page	

	Bonding Co./Surety:		
	Surety Agent:		
	Agent Address:		
	Telephone No.:		·
Q-16.	,	me and full address) that have written bonds for the dates during which each wrote the bonds:	your firm during the
	Surety	Address	Dates
in this Se by the A based on	ion "R" consists of pass/f ction "R" will result in th pplicant for its responses its responses is not disq	SECTION "R" SSENTIAL REQUIREMENTS THER SUBCONTRACTOR(S) Tail questions. Failure by Applicant to pass and the Applicant being disqualified, regardless of the total and other questions in this Questionnaire qualified, its ultimate rating will be based on	the scores received e. If the Applicant
-	,	ater Parts of this Questionnaire.	
R-1.	-	ontractor possess a current California contractor described to submit a proposal that conforms to the reson?	
	☐ Yes	☐ No	

R-2.	Pursuant to Labor Code §1725.5 and §1771 Contractor is currently registered as a contractor with the Department of Industrial Relations (DIR)?
	☐ Yes ☐ No
	DIR Registration #: DIR Expiration Date:
R-3.	Does the Proposed Subcontractor have current workers' compensation insurance policy as required by the Labor Code or are legally self-insured pursuant to Labor Code sections 3700 et. seq.?
	☐ Yes ☐ No
	If yes, provide the following information. (Attach a separate page if more than one policy)
	Insured:
	Carrier:
	Policy Number:
R-4.	Has any contractor license held by the Proposed Subcontractors or their Associates, been revoked or suspended within the last five (5) years?
	☐ Yes ☐ No
R-5.	Has a surety firm completed a contract or paid for completion of a contract on behalf of the Proposed Subcontractor because they were terminated by the project owner within the last five (5) years?
	☐ Yes ☐ No
R-6.	Within the last five years was the Proposed Subcontractor declared ineligible to bid on a public works contract, to be awarded a public works contract, or to perform as a subcontractor on a public works contract, pursuant to either Labor Code section 1777.1 or Labor Code section 1777.7?
	☐ Yes ☐ No
R-7.	Has any Proposed Subcontractor or any of its Associates, ever been convicted of a crime involving the awarding of a contract for a government project, or the bidding or performance of a government contract within the last five (5) years?
	☐ Yes ☐ No

R-8.	-8. Has any professional license held by any engineer with your firm who will provide services been revoked at any time in the last five years?		
	☐ Yes ☐ No		
R-9.	R-9. Does the Proposed Subcontractor seeking prequalification, know and understand their obligations regarding the employment of apprentices on public works under Labor Code section 1777.5 and California Code of Regulations, Title 8, section 230.1, and do they intend to comply with these requirements, including the requirement, if applicable, to request the dispatch of apprentices from an apprenticeship program approved by the California Apprenticeship Council?		
	☐ Yes ☐ No		
R-10.	R-10. Is the Proposed Subcontractor's experience modification rate for the most recent three-year period an average of 1.00 or less? NOTE: Failure to achieve an EMR average of 1.00 or less for three years average disqualify the Proposed Subcontractor.		
	☐ Yes ☐ No		
R-11.	R-11. Does the Proposed Subcontractor have an injury prevention program instituted pursuant to Section 3201.5 or 6401.7 of the California Labor Code		
	☐ Yes ☐ No		
SECTION "S" QUESTIONS FOR OTHER SUBCONTRACTOR(S)			
Applicant is to provide responses to each of the following questions pertaining to its proposed Other Subcontractors. If more than one Other Subcontractor is proposed, separate responses shall be provided for each proposed Other Subcontractor. If an Other Subcontractor is proposed to perform a single Other Subcontractor Trade, only one response for such Other Subcontractor is required. Responses will be scored; however, evaluation of Other Subcontractor scores for this Section "S" will be on a pass/fail basis. Any Other Subcontractor that fails to receive the overall minimum score required for this Section "S" will be disqualified. The failure of at least one Other Subcontractor to achieve the overall minimum score required by this SECTION "S" for qualification shall result in the disqualification of the Applicant for the entire Project. Scored points for the Other Subcontractor(s) will not be added or deducted to determine the Applicant's total score.			
Other Sub	contractor Name:		

How many years has the Other Subcontractor been licensed in California to performwork? Years:		
Is the Other Su bankruptcy case?	bcontractor or any of its Associates cu	urrently the debtor in a
Ye	es No	
If "yes," indicate filed.	the case number, bankruptcy court, and the	e date on which the petiti
Case Number	Bankruptcy Court	Date Filed
Was the Other Subcontractor or any of its Associates in bankruptcy at any till last five years? (This question refers only to a bankruptcy action that was no answer to question S-2, above)		
answer to questio	n S-2, above)	
Yo	_	
Yo	es	d the date on which the
Your Young Y	es	d the date on which the Date Filed
If "yes," indicate petition was filed Case Number At any time in the	es No the case number, bankruptcy court, and Bankruptcy Court e last five years has the Other Subcontractor uidated damages of more than \$50,000 or	Date Filed or or any of its Associates
If "yes," indicate petition was filed Case Number At any time in the been assessed liq	Bankruptcy Court e last five years has the Other Subcontractor uidated damages of more than \$50,000 or ract?	Date Filed or or any of its Associates
Tyes," indicate petition was filed Case Number At any time in the been assessed lique construction control of the petition of the petition was filed. If yes, explain on name of entity agamount of liquidation in the petition control of the petition was a second or the petition was filed.	Bankruptcy Court e last five years has the Other Subcontractor uidated damages of more than \$50,000 or ract?	Date Filed or or any of its Associates a project under a public ects by owner, owner's a stee of completion of the part of th
Tyes," indicate petition was filed Case Number At any time in the been assessed lique construction control of the petition of the petition was filed. If yes, explain on name of entity agamount of liquidatine assessment of the petition was filed.	Bankruptcy Court Bankruptcy Court Bankruptcy Court e last five years has the Other Subcontractor uidated damages of more than \$50,000 or ract? Bankruptcy Court a separate page, identifying all such projections whom assessment was made, the dated damages assessed and all other informatiquidated damages. ars has the Other Subcontractor or any of	Date Filed or or any of its Associates a a project under a public ects by owner, owner's a ate of completion of the pation necessary to fully

S-6. In the last five years has the Other Subcontractor or any of its Associates disqualified, removed or otherwise prevented from bidding on, or completic construction project for any reason?	
	☐ Yes ☐ No
	If "yes," explain on a separate page. State the name of the organization debarred, the year of the event, the owner of the project, and the basis for the action.
S-7.	Has the Other Subcontractor or any of its Associates <u>ever</u> been denied an award of a public works contract based on a finding by a public agency that they were not a responsible bidder?
	☐ Yes ☐ No
	If "yes," on a separate page identify the year of the event, the entity denied the award, the owner, the project, and the basis for the finding by the public agency.
	(NOTE: The following two questions refer only to disputes between contractors and owners of projects. You need not include information about disputes with suppliers, or other contractors.
S-8.	In the past five years has any claim in excess of \$50,000 been filed in court or arbitration against the Other Subcontractor or any of its Associates concerning their work on a public construction project?
	☐ Yes ☐ No
	If "yes," on a separate page identify the claim(s) by providing the project name, date of the claim, name of the claimant, the name of the entity the claim was filed against, a brief description of the nature of the claim, the court and case number, and a brief description of the status of the claim (pending or, if resolved, a brief description of the resolution).
S-9.	In the past five years has the Other Subcontractor or any of its Associates made any claim in excess of \$50,000 against a project owner or a general contractor concerning work on a public construction project or payment for a public construction contract and filed that claim in court or arbitration?
	☐ Yes ☐ No
	If "yes," on a separate page identify the claim by providing the name of claimant, the project name, date of the claim, name of the entity (or entities) against whom the claim was filed, a brief description of the nature of the claim, the court and case number, and a brief description of the status of the claim (pending, or if resolved, a brief description of the resolution).

S-10.	In the last five years has any insurance carrier, for any form of insurance, refused to renew the insurance policy for the Other Subcontractor or any of its Associates due to non-payment or contractor losses?		
	☐ Yes ☐ No		
	If "yes," on a separate page give name of the insured, name the insurance carrier, the form of insurance, and the year of the refusal.		
S-11.	Has the Other Subcontractor or any of its Associates (or any manager of the Associate if the Associate is not a person) ever been found liable in a civil suit or found guilty in a criminal action for making any false claim or material misrepresentation to any public entity?		
	☐ Yes ☐ No		
	A "yes" answer will result in the Applicant being disqualified, regardless of the scores received by the Applicant for its responses to any other questions in this Questionnaire.		
S-12.	Has the Other Subcontractor or any of its Associates (or any manager of the Associate if the Associate is not a person) <u>ever</u> been convicted of a crime involving any federal, state, or local law related to construction?		
	☐ Yes ☐ No		
	A "yes" answer will result in the Applicant being disqualified, regardless of the scores received by the Applicant for its responses to any other questions in this Questionnaire.		
S-13.	Has the Other Subcontractor or any of its Associates (or any manager of the Associate if the Associate is not a person) <u>ever</u> been convicted of a federal or state crime of fraud, theft, or any other act of dishonesty?		
	☐ Yes ☐ No		
	A "yes" answer will result in the Applicant being disqualified, regardless of the scores received by the Applicant for its responses to any other questions in this Questionnaire.		
S-14.	During the last five years, has there ever been a period of time when the Other Subcontractor or any of its Associates had no surety bond in place during a public construction project when one was required?		
	☐ Yes ☐ No		

If yes, indicate the period during which no surety bonds were in place, name of entity without surety bond, the name of project owner, and if coverage was denied the date coverage was denied and the name of the company that denied coverage.

S-15.	Has CAL OSHA cited and assessed penalties against the Other Subcontractor or any of its Associates for any "serious," "willful" or "repeat" violations of its safety or health regulations in the past five years? (NOTE: If you have filed an appeal of a citation, and the Occupational Safety and Health Appeals Board has not yet ruled on your appeal, you need not include information about it.)
	☐ Yes ☐ No
	If "yes," on separate page describe the citations, the party against whom the citation was made, date of citation, nature of the violation, project on which the citation was issued, owner of project, and the amount of penalty paid, if any. State the case number and date of any OSHAB decision.
S-16.	Has the Federal Occupational Safety and Health Administration cited and assessed penalties against the Other Subcontractor or any of its Associates in the past five years?
	(NOTE: If an appeal of the citation has been filed and the Appeals Board has not yet ruled, or if there is a court appeal pending, you need not include information about the citation.)
	☐ Yes ☐ No
	If "yes," on separate page describe the citations, the party against whom the citation was made, date of citation, nature of the violation, project on which the citation was issued, owner of project, and the amount of penalty paid, if any. State the case number and date of any decision.
S-17.	Has the EPA or any Air Quality Management District or any Regional Water Quality Control Board cited and assessed penalties against either the Other Subcontractor, or any of its Associates, or the owner of a project during the time in which the preceding parties were performing on a contract in the past five years?
	(NOTE: If an appeal of the citation has been filed and there is no ruling yet, or if there is a court appeal pending, you need not include information about the citation.)
	☐ Yes ☐ No
	If "yes," on separate page describe the citations, the party against whom the citation was made, date of citation, nature of the violation, project on which the citation was issued, owner of project, and the amount of penalty paid, if any. State the case number and date of

any decision.

5-16.			s and field supervisors during the course of a project?
S-19.			tractor's Experience Modification Rate (EMR) (California workers' e) for each of the past three premium years:
		E: An Experience insation insurance	e Modification Rate is issued to your firm annually by your workers' e carrier.)
	Year:	<u>2011</u>	EMR:
	Year:	2010	EMR:
	Year:	<u>2009</u>	EMR:
			rage of 1.00 or below for the past three years will result in Design Build Team.
or less? I	f the Oth	er Subcontractor	tor's average lost work day rate for the most recent three year period 2 c's average lost work day rate (LWR) is a three year average tor will be disqualified.
		☐ Yes ☐ N	lo
	Year:	2011	Lost Work Day Rate:
	Year:	<u>2010</u>	Lost Work Day Rate:
	Year:	<u>2009</u>	Lost Work Day Rate:
S-21.	of its A	•	ars has there ever been a period when the Other Subcontractor or any mployees but was without workers' compensation insurance or state-e?
		Yes	☐ No
	separa insurar Other for les carrier	te page. If "No, nce carrier that versus that versus than five years."	the reason for the absence of workers' compensation insurance on a "please provide a statement by your current workers' compensation verifies periods of workers' compensation insurance coverage for the or the last five years. (If the Other Subcontractor has been in business rs, provide a statement by your workers' compensation insurance muous workers' compensation insurance coverage for the period that business.)

S-22.	Subco	here been more than one occasion during the last five years in which the Other ontractor or any of its Associates was required to pay either back wages or penalties flure to comply with the California state prevailing wage laws?
		☐ Yes ☐ No
	the pr	s," attach a separate page, describing the violator, nature of each violation, name of roject, date of its completion, the public agency for which it was constructed; the er of employees who were initially underpaid and the amount of back wages and ries that were assessed.
S-23.		the Other Subcontractor operate its own State-approved apprenticeship program? If provide the following information on a separate page:
		☐ Yes ☐ No
	(a)	Identify the craft or crafts in which you provided apprenticeship training in the past year.
	(b)	State the year in which each such apprenticeship program was approved, and attach evidence of the most recent California Apprenticeship Council approval(s) of your apprenticeship program(s).
	(c)	State the number of individuals who were employed by your firm as apprentices at any time during the past three years in each apprenticeship and the number of persons who, during the past three years, completed apprenticeships in each craft while employed by your firm.
S-24.	been	y time during the last five years, has the Other Subcontractor or any of its Associates found to have violated any provision of California apprenticeship laws or regulations, laws pertaining to use of apprentices on public works?
		☐ Yes ☐ No
	If "ye	s," provide the date of the findings and attach a copy of the final decision.
	Date of	of Findings
S-25.	progra gradu	greements exist between the Other Subcontractor and registered apprenticeship ams which have been approved by the California Apprenticeship Council and have ated apprentices in the preceding five years, for all apprenticable crafts which may be oved by the Other Subcontractor on this project?
	for an	graduation requirement shall not apply to programs providing apprenticeship training by craft that has not been deemed by the Department of Labor and the Department of trial Relations to be an apprenticable craft within the period of October, 1999 to per, 2001.)

	☐ Yes ☐ No
S-26.	Has the Other Subcontractor performed a subcontract for the Other Subcontractor Trade portion of the construction of any California school or college projects in the last 5 years in which the total contract price of the Other Subcontractor Trade portion of the work constructed was in excess of \$5,000,000?
	☐ Yes ☐ No If "Yes", how many?

SUBCONTRACTOR CERTIFICATION

NOTE: Authorized person(s) with authority to execute this Certification shall sign this Certification on behalf of each of the persons or entities that is a constituent member of the Design-Build Entity that is the Applicant Qualifier seeking to be pre-qualified by the District. Make additional copies this certification page as needed to provide certification for each such person or legal entity.

I, the undersigned	, certify and declare that I have	
read all the foregoing answers to this Pre-Qualification Questionnaire; that all responses are correct complete of my own knowledge and belief. I declare under penalty of perjury under the laws of the S		
(Signature)		
(Printed name)		
(Place of Execution)		
(D. ()		
(Date)		
(Name of the person or entity* on whose behalf this	s Cartificate is signed)	
(Iname of the person of entity) off whose behalf the	S CELUTICATE IS SIGNED)	

END OF PRE-QUALIFICATION QUESTIONNAIRE